

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Attorney Docket Number** 12569-03/NEC

**Application Number** 09/876,368

**Filing Date** June 7, 2001

**Applicant(s)** Bin Zhao

**Group Art Unit** 2633 2872

**Examiner Name** To be assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CNC	4,548,479	08-22-85	Pochi A. Yeh	350	404	
CNC	5,867,291	02-02-99	Wu, et al.	359	124	
CNC	5,724,165	03-03-98	Wu	359	117	
CNC	5,694,233	12-02-97	Wu, et al.	359	117	
CNC	6,005,697	12-21-99	Wu, et al.	359	117	
CNC	5,606,439	2-25-97	Wu	349	117	
CNC	5,978,116	11-2-99	Wu, et al.	359	124	
CNC	5,682,446	10-28-97	Pan, et al.	385	11	
CNC	5,471,340	11-28-95	Cheng, et al.	359	281	
CNC	6,049,427	4-11-00	Ralph Bradford Bettman	359	484	
CNC	5,574,596	11-12-96	Yihao Cheng	359	484	
CNC	5,818,981	10-6-98	Pan, et al.	385	11	
CNC	4,247,166	01-27-81	Pochi A. Yeh	350	374	
CNC	4,500,178	02-19-85	Pochi A. Yeh	350	404	
CNC	5,062,694	11-5-91	Clayton H. Blair	359	498	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
<b>NONE</b>						

**OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
CNC	Pages 10-29 from book believed to be entitled <i>Electromagnetic Theory</i> . No further information on book is available.
CNC	CARL F. BUHRER; <i>Synthesis and tuning of high-order Solc-type birefringent filters</i> , <i>Applied Optics</i> , April 20, 1994, Vol. 33, No. 12, pgs. 2249 - 2254
CNC	TATSUYA KIMURA, MASATOSHI SARUWATARI; <i>Temperature Compensation of Birefringent Optical Filters</i> ; <i>Proceeding Letters</i> , August 1971, pgs. 1273 - 1274
CNC	CARL F. BUHRER; <i>Four waveplate dual tuner for birefringent filters and multiplexers</i> ; <i>Applied Optics</i> , September 1, 1987, Vol. 26, No. 17, pgs. 3628 - 3632

CNC	P. MELMAN, W. J. CARLSEN, B. FOLEY; <i>Tunable Birefringent Wavelength-Division Multiplexer/Demultiplexer</i> , <u>ELECTRONICS LETTERS</u> , July 18, 1985, Vol. 21, No. 15, pgs. 634 and 635.
CNC	YOHJI FUJII; <i>Tunable wavelength multi/demultiplexer using a variable retardation phase plate</i> ; <u>APPLIED OPTICS</u> , August 20, 1990, Vol. 29, No. 29
SPK E	S.E. HARRIS, E.O. AMMANN, I. C. CHANG; <i>Optical Network Synthesis Using Birefringent Crystals. * I. Synthesis of Lossless Networks of Equal-Length Crystals</i> , <u>JOURNAL OF THE OPTICAL SOCIETY OF AMERICA</u> , Oct. 1964, Vol. 564, No. 10, pgs. 1267 - 1279.
DEC CNC 2001	ATSUYA KIMURA, MASATOSHI SARUWATARI, and KENJU OTSUKA; <i>Birefringent Branching Filters for Wideband Optical FDM Communications</i> ; <u>APPLIED OPTICS</u> , February 1973, Vol. 12, No. 2, pgs. 373 to 379.
PATENT & TRADEMARK OFFICE	GODFREY R. HILL; <i>Wavelength Domain Optical Network Techniques</i> ; <u>Proceedings of the IEEE</u> , January 1989, Vol. 77, No. 1, pgs. 121 to 132
CNC	J. M. SENIOR, S.D. CUSWORTH; <i>Devices for Wavelength Multiplexing and Demultiplexing</i> ; <u>IEEE Proceedings</u> , June 1989, Vol. 136, Pt. J. No. 3, pgs. 183 - 202.

EXAMINER SIGNATURE	<i>Braig H. Curtis</i>	DATE CONSIDERED	<i>26 June 2002</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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